



Customer No. 26874  
PATENT TRADEMARK OFFICE  
Attorney Docket: END907.0511043

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Kenneth S. Wales : Paper No:  
Serial No. 10/615,972 : Group Art Unit: 3721  
Filed: July 9, 2003 : Examiner: Paul Durand  
For: Surgical Instrument with a Lateral-Moving Articulation Control

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

MS Amendment  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, Applicant herewith submits certain information, which the Patent & Trademark Office may wish to consider in examining the above-identified application. The information is listed below, and is also listed on the attached form PTO-1449.

<u>REFERENCES</u>	<u>ISSUE DATE</u>	<u>INVENTOR</u>
4,721,099	01-26-1998	Chikama
5,588,581	12-31-1996	Conlon et al.
5,673,840	10-07-1997	Schulze et al.
5,797,536	08-25-1998	Smith et al.
2003/045900	03-06-2003	Hahnen et al.
<u>FOREIGN COUNTRY CODE</u>	<u>ISSUE DATE</u>	<u>APPLICANT</u>
EP 0 593 920 A	04-27-1994	US Surgical Corp.
EP 0 600 182 A	06-08-1994	US Surgical Corp.
WO 01/00095 A	01-04-2001	Lange

Copies of the foreign applications are included. Pursuant to the waiver published in the Pre-OG Notice, dated July 11, 2003, no copies are provided herewith of U.S. patents cited. No representation is made or intended that a prior art search has been made or that no better art than the listed is available. It is respectfully requested that the information be considered by the Examiner and made of record in the present application.

05/24/2005 AWONDAF1 00000041 10615972

02 FC:1806

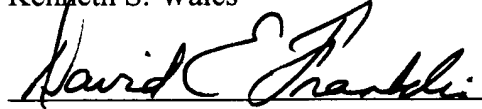
180.00 0P

Serial No. 10/615,972

A check in the amount of \$180 is included for payment of Information Disclosure Statement fee. Applicant believes that no other fees are due. However, if it is determined that other fees are due, the Commissioner for Patents is authorized to charge any deficiency or credit any overpayment of fees to the Frost Brown Todd LLC Deposit Account No. 06-2226.

Respectfully submitted,

Kenneth S. Wales



David E. Franklin

Registration No. 39,194

Telephone (513) 651-6856

FROST BROWN TODD LLC

2200 PNC Center

201 East Fifth Street

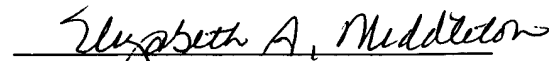
Cincinnati, Ohio 45202-4182

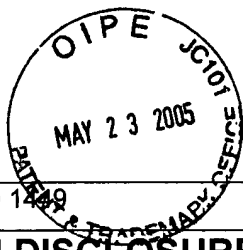
(513) 651-6856 voice

(513) 651-6981 facsimile

**CERTIFICATE OF MAILING**

I hereby certify that a copy of this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MS Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 this 18<sup>th</sup> day of May, 2005.

  
Elizabeth A. Middleton



Customer No. 26874  
PATENT TRADEMARK OFFICE

Substitute for Form PTO 1449

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

Application No.	10/615,972
Filing Date	July 9, 2003
First Named Inventor	Kenneth S. Wales
Art Unit	3721
Examiner Name	Paul Durand

Sheet	1	of	1	Attorney Docket No.	END907.0511043
-------	---	----	---	---------------------	----------------

## U.S. PATENT DOCUMENTS

Examiner initials	Cite No.	DOCUMENT NUMBER	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind code <sup>2</sup> (if known)			
		US- 4,721,099	01-26-1998	Chikama	
		US- 5,588,581	12-31-1996	Conlon et al.	
		US- 5,673,840	10-07-1997	Schulze et al.	
		US- 5,797,536	08-25-1998	Smith et al.	
		US- 2003/045900	03-06-2003	Hahnen et al.	
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind code <sup>5</sup> (if known)				
		EP 0 593 920 A	04-27-1994	US Surgical Corp.		
		EP 0 600 182 A	06-08-1994	US Surgical Corp.		
		WO 01/00095 A	01-04-2001	Lange		

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>

CinLibrary 0021680.0511043 1505015v.1

EXAMINER SIGNATURE \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.